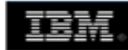


Financial Technology Transactions

<p>\$367,000,000</p>  <p>Acquired by Roper Industries, Inc</p> <p>Advisor Gridley & Company...</p>	<p>Undisclosed</p>  <p>Acquired by Quest Software</p> <p>Advisor Gridley & Company...</p>	<p>\$113,189,337</p>  <p>Secondary Offering</p> <p>Advisor Gridley & Company...</p>	<p>\$61,600,000</p>  <p>Secondary Stock Offering</p> <p>Advisor Gridley & Company...</p>	<p>\$17,450,000</p> <p>PrePay Intelligent Network Solutions Business of</p>  <p>Sold to Verisign, Inc.</p> <p>Fairness Opinion Gridley & Company...</p>	<p>Undisclosed</p> <p>Essex Corporation, Subsidiary of</p>  <p>Sold to John Hancock Corporation</p> <p>Advisor January 1999</p>	<p>Undisclosed</p>  <p>Sold a Minority Interest to NACRE Corporation</p> <p>Advisor November 1998</p>
<p>\$51,000,000</p>  <p>Executed Two Block Trades of Stocks</p> <p>Advisor February 1997</p>	<p>\$117,000,000</p>  <p>Initial Public Offering</p> <p>Advisor February 1996</p>	<p>\$169,000,000</p>  <p>Acquired Servantis</p> <p>Advisor February 1996</p>	<p>Undisclosed</p>  <p>MTN and Commercial Paper Program</p> <p>Manager June 1995</p>	<p>Undisclosed</p>  <p>Introduction to Wal-Mart customer relationship</p> <p>Advisor May 1995</p>	<p>Undisclosed</p> <p>Marketvision, a division of</p>  <p>Sold to Bridge Information Systems</p> <p>Advisor May 1995</p>	<p>\$1,100,000,000</p>  <p>Common Stock Offering</p> <p>Lead Manager December 1993</p>
<p>\$200,000,000</p>  <p>Debt Offering</p> <p>Lead Manager June 1995</p>	<p>\$870,000,000</p>  <p>DECS Offering</p> <p>Co-Manager May 1993</p>	<p>\$50,000,000</p>  <p>Secondary Stock Offering</p> <p>Lead Manager April 1993</p>	<p>\$60,000,000</p>  <p>Acquired Winsbury Corporation</p> <p>Advisor March 1993</p>	<p>\$150,000,000</p>  <p>Secondary Stock Offering</p> <p>Co-Manager February 1993</p>	<p>\$75,000,000</p>  <p>Convertible Debt Offering</p> <p>Lead Manager January 1993</p>	<p>\$29,000,000</p>  <p>Secondary Stock Offering</p> <p>Lead Manager December 1992</p>
<p>\$125,000,000</p>  <p>Dutch Auction Stock Repurchase Program</p> <p>Dealer Manager September 1992</p>	<p>\$60,000,000</p>  <p>Initial Public Offering</p> <p>Lead Manager June 1992</p>	<p>\$165,000,000</p>  <p>Initial Public Offering</p> <p>Lead Manager April 1992</p>	<p>\$1,000,000,000</p>  <p>Initial Public Offering</p> <p>Lead Manager November 1991</p>	<p>\$117,000,000</p>  <p>Acquired a Minority Investment in Policy Management Systems</p> <p>Advisor November 1989</p>		